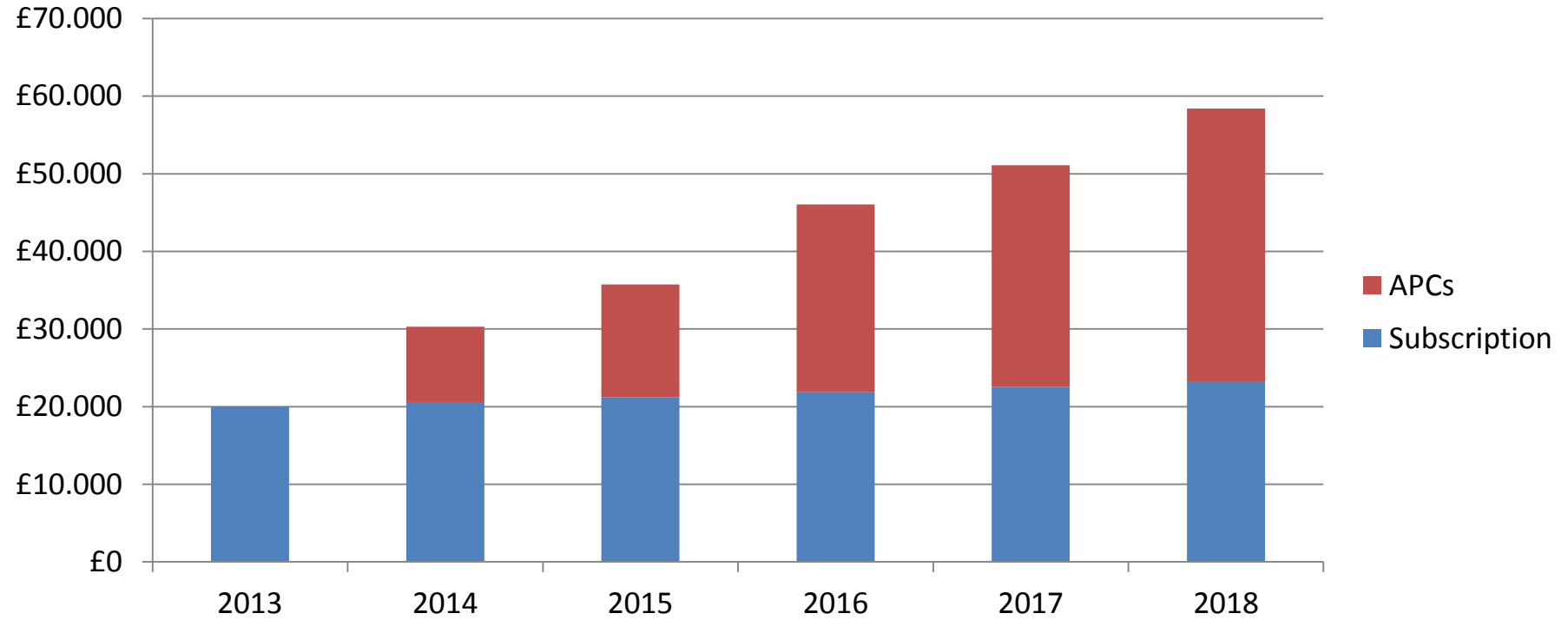


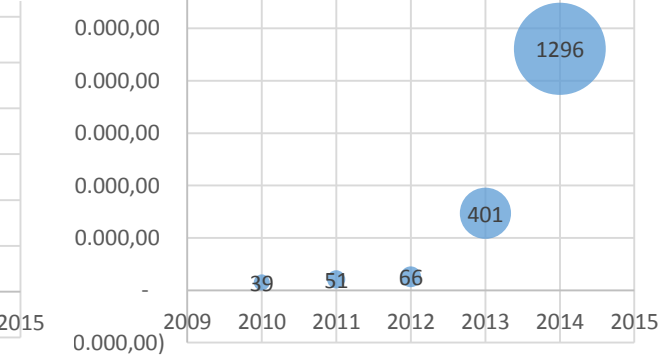
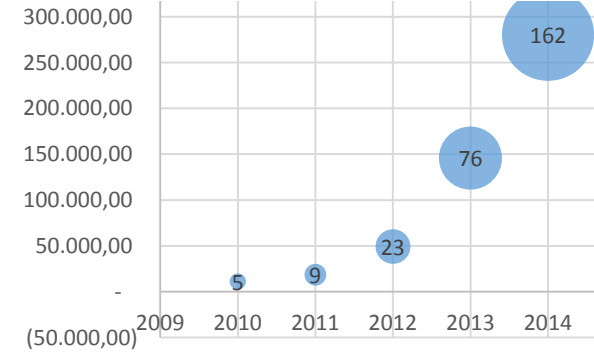
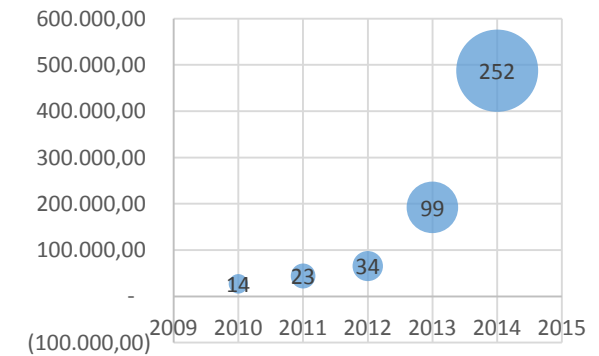
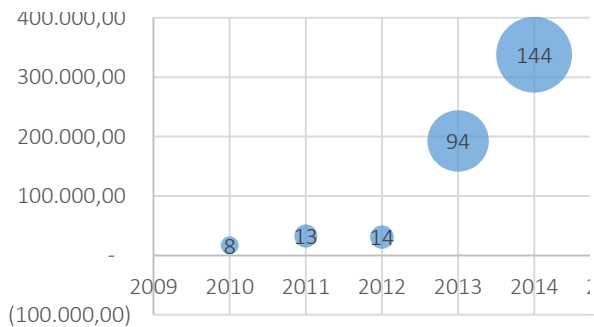
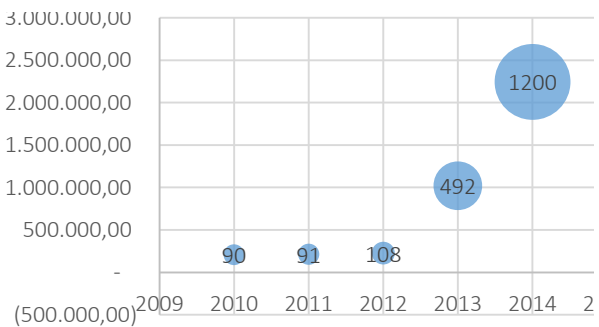
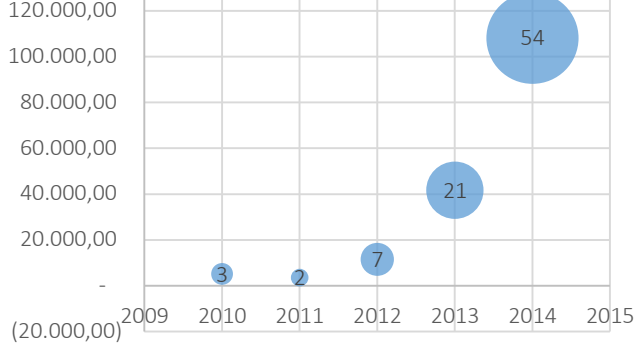
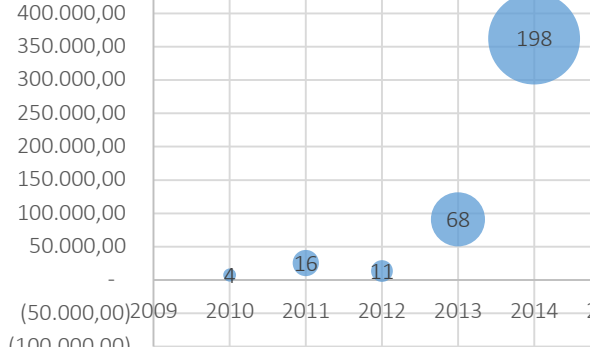
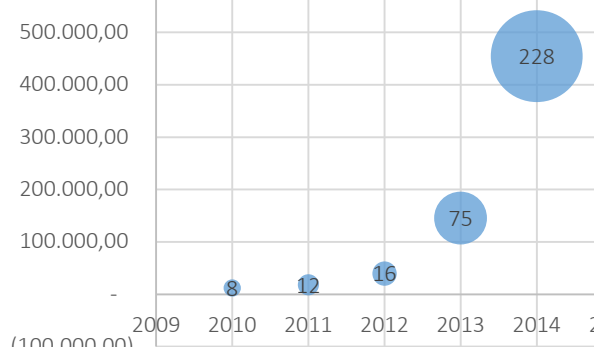
The background image is a high-angle, wide shot of a grand, multi-level library atrium. The space is characterized by its curved architecture, with bookshelves lining the walls of multiple levels. The ground floor features a central study area with several computer workstations and people working. A large, circular skylight is visible at the top of the frame, casting light across the space. The overall atmosphere is one of a well-lit, spacious, and functional academic environment.

Transparency in offsetting agreements

Liam Earney, Director of Jisc Collections

- » Background
 - › Why did the UK pursue offsetting agreements?
- » Evaluating offsetting agreements
 - › Why is transparency important?
- » Progress
- » Challenges
- » What next?





- » The Government "...looks to the publishing industry to develop innovative and sustainable solutions" :
 - › "...a meaningful proportion of an institution's total [article processing charges] with a publisher to be offset against total subscription payments with that publisher".

- » "Government welcomes efforts by Jisc Collections to develop sustainable funding models that establish a relationship between the payment of APCs (and the costs of administering them) and subscription fees for an institution."

Letter from Rt Hon David Willetts MP to Dame Janet Finch, 23 January 2014

Continued support for Gold OA, with caveats

- » “Although there is a broad consensus about the benefits of Open Access in the UK, financial challenges remain. This is particularly acute in relation to Gold OA. Research for this report shows a consistent and steep increase in the average cost of purchasing Gold OA, without a commensurate fall in subscription costs. Consequently, the overall costs of publication are increasing beyond those projected in the Finch Report. This has largely resulted from the growth of, so-called, ‘hybrid’ journals which remain based on subscriptions but, for an additional fee, will publish papers on the Gold model.”

Professor Adam Tickell
Chair UK Open Access Co-ordination Group
University of Birmingham
Edgbaston
Birmingham
B15 2TT

Department
for Business
Innovation & Skills

Jo Johnson MP
Minister of State for Universities and
Science

1 Victoria Street
London
SW1H 0ET

T +44 (0) 20 7215 5000
E enquiries@bis.gov.uk

www.gov.uk/bis

11 February 2016

Dear Professor Tickell,

Thank you for responding to my request for advice regarding open access to research publications. I have considered your report and am encouraged by the positive progress across the UK which it shows. The UK research community has responded admirably in stepping up to the challenges. As we see science increasingly opening up, the opportunities for the

“I am also keen to see progress in offsetting arrangements and better value obtained for higher education institutions”

Jo Johnson MP
Minister of State for Universities and Science
11th February 2016

Priorities in our negotiations

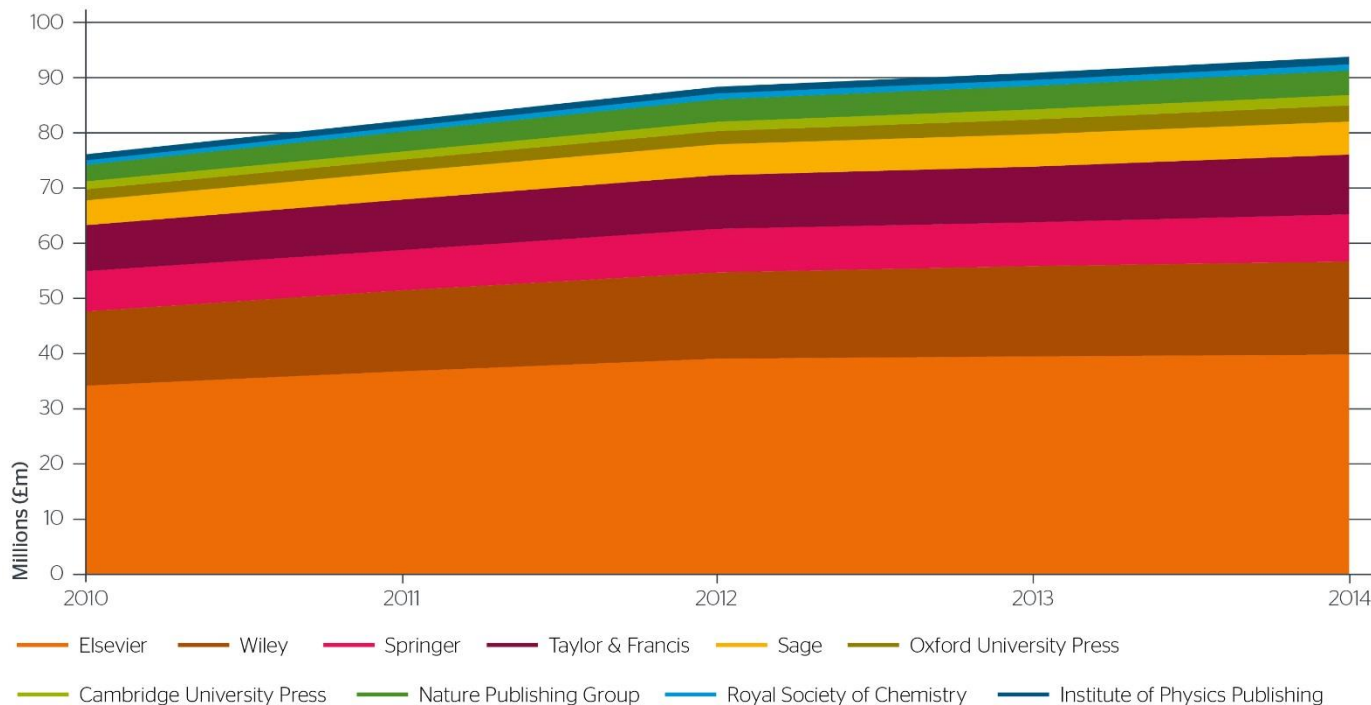
1. Contributes to transition to open access
 2. Affordability
 3. Ease of administration
 4. Transparency
 5. Facilitates compliance with funder policies
- » There are potential tensions between these priorities

- » Offsetting intended to be transitional models
- » Promotion of effective market mechanisms
 - › Avoid unwelcome features of the Big Deal subscription model
- » Hidden costs in mix of subscription and APCs
 - › Impacts reporting to funders
 - › Artificially reduce cost of hybrid APCs
- » Support price sensitivity and visibility of costs to authors

Transparency is essential to the integrity
of offsetting

Progress

- » More information published on expenditure than ever before:
 - › Shamash, K (2016) *Article processing charges (APCs) and subscriptions - Monitoring open access costs*
- <https://www.jisc.ac.uk/reports/apcs-and-subscriptions>

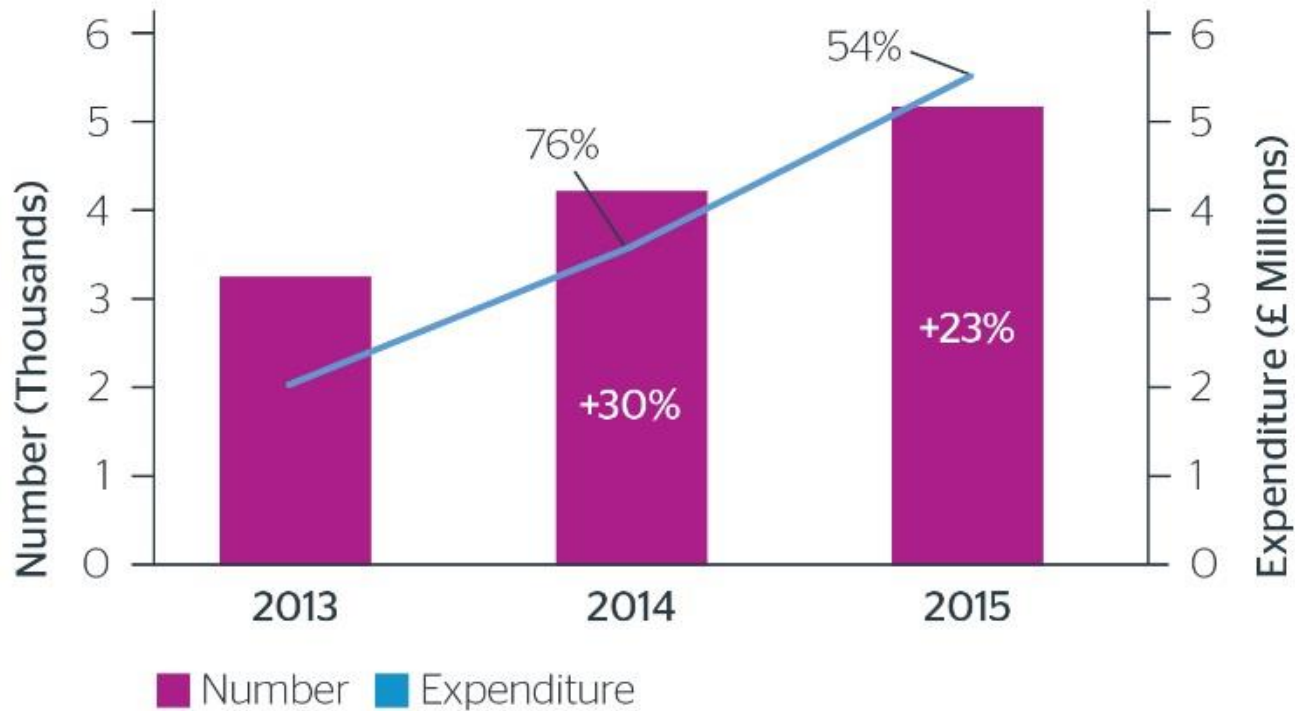


"Article processing charges (APCs) and subscriptions - Monitoring open access costs" May 2016

Katie Shamash

<https://www.jisc.ac.uk/reports/apcs-and-subscriptions>

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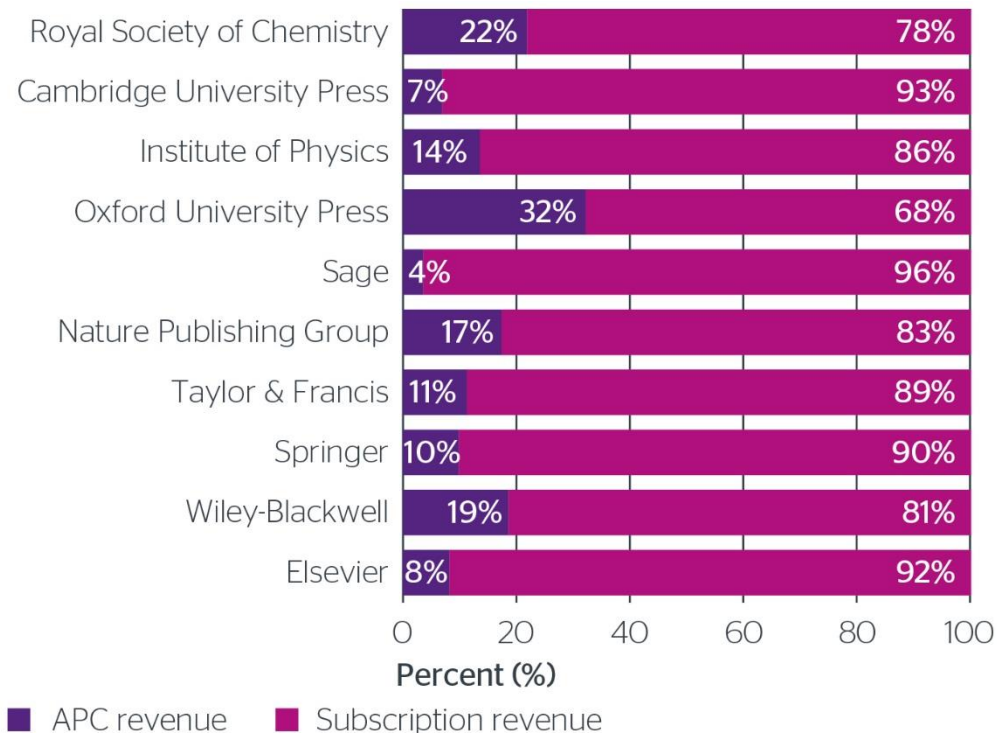
- APC expenditure, 12%
- Subscription expenditure, 88%

"Article processing charges (APCs) and subscriptions - Monitoring open access costs" May 2016

Katie Shamash

<https://www.jisc.ac.uk/reports/apcs-and-subscriptions>

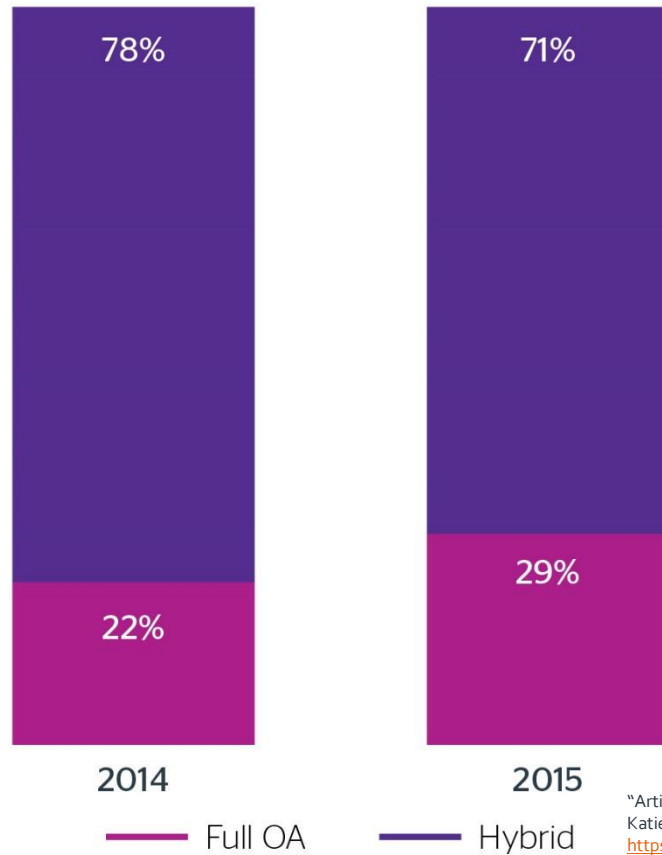
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"Article processing charges (APCs) and subscriptions - Monitoring open access costs" May 2016
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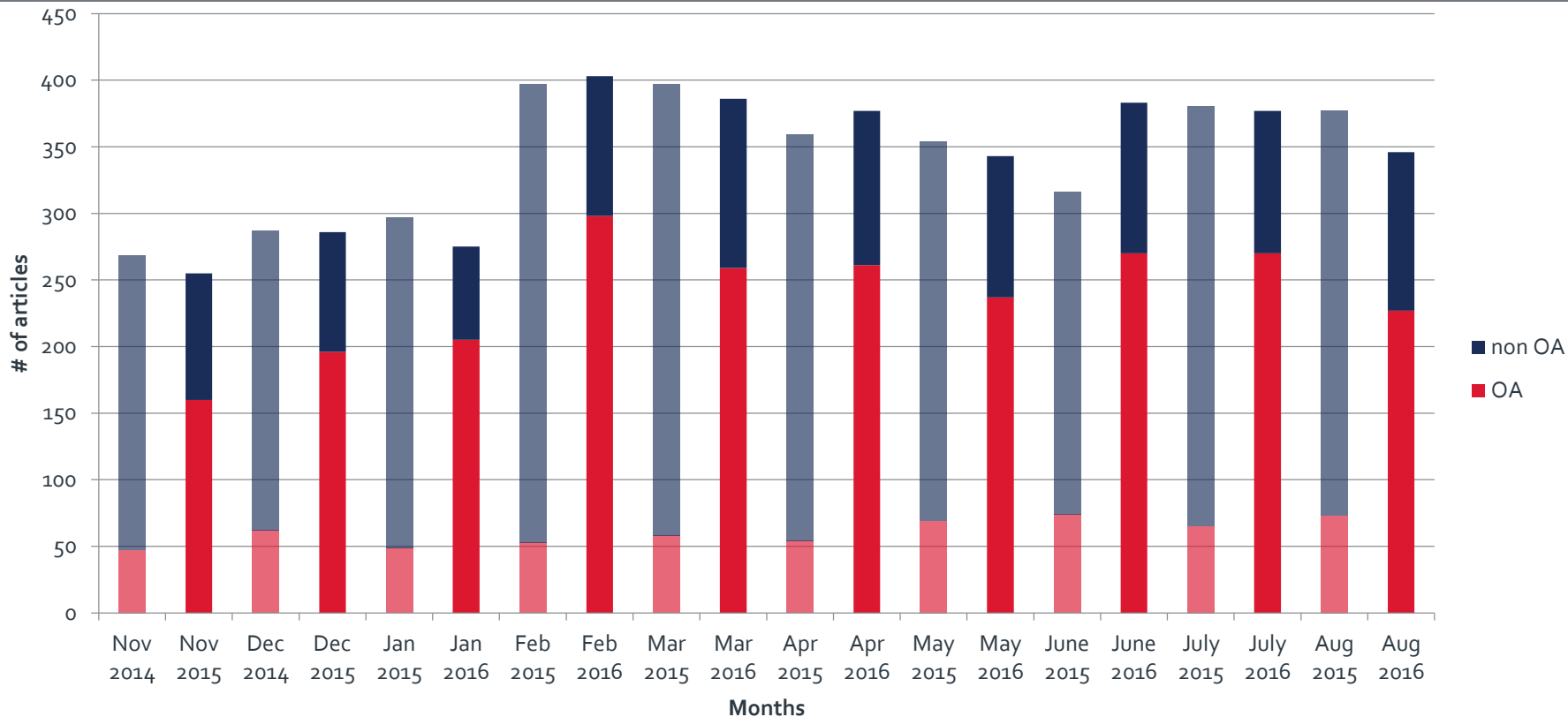
"Article processing charges (APCs) and subscriptions - Monitoring open access costs" May 2016

Katie Shamash

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Detailed reporting on Springer Compact



Date refers to online publication date and in scope articles

- » Since last October APCs with a price tag of over €5million have been published under the scheme
- » Provides basis for the move away from Historical Print Spend
- » 100% of articles have CC-BY licence
- » Service levels and penalties for failure
- » Consortiums meeting together and with editorial to review workflows, critique implementation, agree development

Progress?

- » Information on subscription spend still inadequate
 - › Reliance on Freedom of Information
 - › Don't have information for all institutions or publishers
- » APC data is still inadequate
- » Where is transparency occurring?
 - › Institution/consortium/sector
- » Can we evaluate these agreements?
 - › Too much of the “bigger Big Deal”

- » Is transparency the first casualty of negotiations?
 - › Administration & Cost more attractive in practice
 - › Economic impact of BREXIT
 - › Move to more 'database' models
 - › Persistence of historical print spend
- » Relationship between transparency and:
 - › Affordability
 - › Local context
 - › Price sensitivity and author decision making

What next?

A close-up photograph of a person's hand holding a white business card. The hand is positioned at the top and bottom edges of the card, with fingers visible. The background is a blurred white and grey.

Liam Earney

Director, Jisc Collections

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