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Open-Access-Tage Zürich
7. + 8. September 2015
organisiert von der Hauptbibliothek
der Universität Zürich

The EC/OpenAIRE FP7 Post-Grant Open Access Pilot

OpenAIRE

European Commission

What is this FP7 Post-Grant Pilot?

- The EC FP7 Post-Grant Open Access Pilot will provide funding to *cover the OA publishing fees for publications arising from completed FP7 projects*

- 4 million euros are made available by the EC to fund the OA "post-grant" publications of *over 8,000 completed FP7 projects*

- The Pilot will last for a *maximum of two years* (i.e. until Apr 30th, 2017) or until its budget is exhausted

FP7 post-grant Open Access Pilot

Requirements for Eligibility

- The FP7 project *should have finished* by the time the funding is requested, but *no longer than two years ago*;

- *A maximum of three publications* will be funded per eligible FP7 project as a means to ensure a fair distribution of the funding across projects;

- Publications eligible for funding *must be peer-reviewed*;

- Funding requests must be submitted *once the publication has been accepted*;

- Publications submitted to *hybrid journals will not be funded*, but only those accepted at fully Open Access journals;

- *Funding caps* of €2,000 for research articles and €6,000 for monographs apply for this Pilot;

- The final version of the funded output *must be deposited* in an OpenAIRE-compliant Open Access repository.

Full Pilot policy guidelines available at: https://www.openaire.eu/postgrant/fp7-post-grant/pilot

Applying for Funding: the System

A central system for collecting and processing funding requests has been made available at the OpenAIRE Portal, https://postgrantoapilot.openaire.eu/

Users registered so far

FP7 post-grant Open Access **Pilot**

OpenAIRE

Registered users with the Central System for funding request collection and processing at https://postgrantoapilot.openaire.eu/ (as of Aug 25th, 2015, i.e. 3 months after launch)

Registered users by user profile	Registered users by nationality
Researcher – 117	UK – 38
Library Staff – 63	Spain – 37
Project Coordinator – 27	Germany – 31
	Greece – 17
TOTAL – 207	Italy – 13
	Finland – 12
	Austria – 8
	Switzerland – 8
	Croatia – 6
	Sweden – 6

How to Apply for Funding

1. **Funding requests** may be directly submitted by researchers or delivered by Libraries and/or Research Offices on their behalf

1. **Users** *need to register with the Central System* in order to submit a funding request

1. ***Data*** *about the project and the accepted publication* will be requested in order to check their eligibility. Once this is checked, the funding request will be approved and left pending until an invoice is available

1. **Invoice** for the OA publishing fee needs to be uploaded into the Central System when made available by the publisher. The invoice *must be issued to Athena Research Centre (ARC).*

Invoice

Invoice #:	2015-0037119-5
Date Issued:	22 Jun 2015
Date Due:	22 Jul 2015
Our Reference:	10.3389/fmicb.2015.00689_Sevenich

Bill to:
Athena Research Center
Artemidos 6 & Epidavrou
GR-151 25 Athens
VAT Number EL999723442
Greece

The FP7 Post-Grant OA Pilot Mission

The EC FP7

Early Outcomes

A spreadsheet with details for the granted funding requests is already being kept

Institution	Country	Date of initial application by author	Acceptance date	Submitted by	FP7 project	FP7 project grant agreement	FP7 project end-date
Aristotle University of Thessaloniki	GR	3-3-2015	3-3-2015	Angelos K. Kanellis	TERPMED	227448	31-5-2013
Queen's University Belfast	UK	14-4-2015	13-4-2015	Catherine Craig	TEMPUS_G	210007	31-5-2013
Eötvös Lorand University	HU	10-6-2015	9-6-2015	Tamás Vicsek	COLLMOT	227878	28-2-2014
TU Berlin	DE	22-6-2015	21-6-2015	Robert Sevenich	PROMETHEUS	265558	30-4-2014
Uni Bielefeld	DE	19-6-2015		Sofia Ribeiro	EDUWEL	238646	31-8-2014
Universidad de Cantabria	ES	03-07-2015	12-06-2015	Fernando de la Cruz	BACTOCOM	248919	31-07-2013

Consultations on eligibility for funding arrived *before submission* are welcome and are included in the list, but no funding will be provided until acceptance, and their presence in the table *does not mean* they are on a waiting list
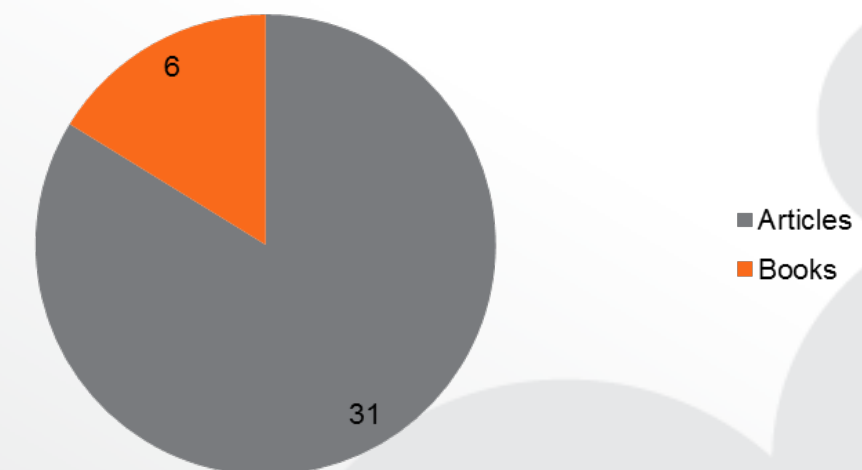
Early Outcomes: funding requests

Country	Approved funding requests	Journal articles	Books
Spain	10	10	0
UK	8	8	0
Germany	4	4	0
Switzerland	4	3	1
Italy	3	1	2
Hungary	2	2	0
Denmark	2	0	2
Greece	1	1	0
Norway	1	1	0
Austria	1	0	1
Total	**37**	**31**	**6**

Publisher	Number of funded publica...
NPG/Macmillan	6
Frontiers	5
Ubiquity Press	3
PLoS	3
Copernicus	2
Wiley	2
BioMed Central	2
Am Soc Microbiol	1
BMJ	1
Hindawi	1
Mary Ann Liebert	1
MDPI	1
Österreichische Akademie der Wissenschaften	1
Resilience Alliance	1
Taylor & Francis	1

Accepted funding requests (as of Aug 25, 2015)

Early Outcomes: funded outputs

A "FP7 Post-Grant OA Pilot Outputs" collection is already available in Zenodo

https://zenodo.org/collection/user-fp7postgrantoapilotoutputs

APC-equivalent Funding

Instead of APCs, this APC-equivalent funding for APC-free journals will fund *technical improvements in the publishing workflows* at eligible journals.

Two main challenges to tackle:

Defining the technical and administrative mechanisms for providing the funding, which includes (among others) what to fund, whom to fund, how to fund and what indicators to use for accountability.

Making sure eligible researchers will submit their work to these journals, which includes a *specific dissemination strategy* plus ensuring SSH journals are registered in ERIH+ and checking how many FP7-funded publications have previously been published in them.

Some fundable improvements

The current (draft) list of fundable technical improvements for APC-free journals includes:

- **Collecting funder information** (if/where declared and applicable) in the appropriate metadata element, also retrospectively
- Registration in **ERIH+/DOAJ**
- Becoming harvested by OpenAIRE and DOAJ **(at article-level)**
- Improving **OA advocacy** towards authors (incl Pilot dissemination)
- Implementing **OJS peer-review and manuscript processing workflows**
- Including references in **machine-readable** format
- Implementing **ORCID**
- Implementing **altmetrics**

The FP7 Pilot and Publishers

Some (ongoing) work lines:

■ **Discussing technical requirement compliance**, incl licensing, file formats, deposit into an OpenAIRE-compliant repository, invoicing.

■ **Promoting useful technical improvements**, such as early DOI minting and early metadata exchange with CrossRef.

■ **Exploring additional dissemination opportunities**, as publishers often lie closer to researchers than institutions. A growing number of them also offer their authors information on potential OA publishing fee funding sources.

■ **Exploring the possibility of signing pre-payment or membership schemes** with the most popular publishers among Pilot-funded authors.

Thank you!

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